

Form PTO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>48452-047</b>	Serial No. <b>09/703,884</b>
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant <b>Robert S. Phillips</b>	
		Filing Date <b>November 1, 2000</b>	Group Art Unit <b>2151</b>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
<i>FT</i>	US-2002/0133494, Published on 09/2002, Goedken.		
<i>FT</i>	Stac, Inc. Web Page, <a href="http://www.stac.com/news/pressrel/pr-legatoagreement.html">http://www.stac.com/news/pressrel/pr-legatoagreement.html</a> , printed on June 13, 1998.		
Examiner	<i>Grant</i>	Date Considered <i>6/10/05</i>	
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U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
48452-047Serial No.  
09/703,884

# INFORMATION DISCLOSURE STATEMENT

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Applicant

Robert S. Phillips

Filing Date

November 1, 2000

Group Art Unit

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## U.S. PATENT DOCUMENTS

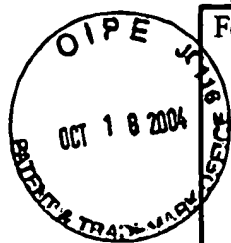
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	4	7	1	0	9	2	6	12/1/87	Brown et al.		
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	4	9	1	4	5	7	1	4/3/90	Baratz et al.		
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	5	0	7	7	7	3	6	12/31/91	Dunphy, Jr., et al.		
	5	1	1	7	3	5	0	5/26/92	Parrish et al.		
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	5	2	3	7	6	7	3	8/17/93	Orbits et al.		
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	5	2	4	7	6	7	3	9/21/93	Costa et al.		
	5	2	5	1	3	0	8	10/5/93	Frank et al.		
FS	5	2	6	9	0	1	3	12/7/93	Abramson et al.		

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	5	2	7	6	8	5	2	1/4/94	Callander et al.			OCT 25 2004	
	5	2	8	2	2	0	1	1/25/94	Frank et al.	Technology Center 2100			
	5	2	9	7	2	6	5	3/22/94	Frank et al.				
	5	2	9	7	2	6	9	3/22/94	Donaldson et al.				
	5	3	0	3	3	6	2	4/12/94	Butts, Jr. et al.				
	5	3	0	7	5	0	6	4/26/94	Colwell et al.				
	5	3	1	3	6	4	7	5/17/94	Kaufman et al.				
	5	3	3	5	3	2	5	8/2/94	Frank et al.				
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	5	3	9	0	3	1	6	2/14/95	Cramer et al.				
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	5	3	9	4	5	5	5	2/28/95	Hunter et al.				
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<i>PT</i>	5	4	9	0	2	7	2	2/6/96	Mathias et al.				
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**U.S. PATENT DOCUMENTS**

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<i>JD</i>	5	4	9	3	7	2	8	2/20/96	Solton et al.		
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	5	5	5	1	0	3	5	8/27/96	Arnold et al.		
	5	5	6	0	0	2	7	9/24/96	Watson et al.		
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	5	6	3	4	0	0	4	5/27/97	Gopinath et al.		
	5	6	4	9	1	9	4	7/15/97	Miller et al.		
	5	6	7	5	7	8	7	10/7/97	Miller et al.		
	5	6	8	7	3	0	8	11/11/97	Jardine et al.		
	5	6	8	9	7	0	0	11/18/97	Miller et al.		
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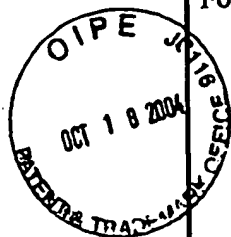
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<i>FO</i>	5	6	9	2	1	9	3	11/25/97	Jagannathan et al.	RECEIVED OCT 20 2004 Technology Center 2100	
	5	6	9	6	9	0	0	12/9/97	Nishigaya et al.		
	5	7	0	1	4	6	2	12/23/97	Whitney et al.		
	5	7	2	7	1	5	0	3/10/98	Laudon et al.		
	5	7	2	7	2	0	3	3/10/98	Hapner et al.		
	5	7	5	8	3	4	3	5/26/98	Vigil et al.		
	5	7	6	8	5	1	0	6/16/98	Gish		
	5	7	8	1	5	3	7	7/14/98	Ramaswami et al.		
	5	8	0	5	7	8	5	9/8/98	Dias et al.		
	5	8	0	5	7	8	6	9/8/98	Badovinatz et al.		
	5	8	1	2	5	3	3	9/22/98	Cox et al.		
	5	8	1	2	7	5	1	9/22/98	Ekrot et al.		
	5	8	1	5	7	1	0	9/29/98	Martin et al.		
	5	8	3	2	5	1	4	11/3/98	Norin et al.		
	5	8	4	8	4	1	9	12/8/98	Hapner et al.		
	5	9	3	3	8	2	6	8/3/99	Ferguson et al.		
	6	1	1	5	5	4	9	9/5/00	Janis et al.		
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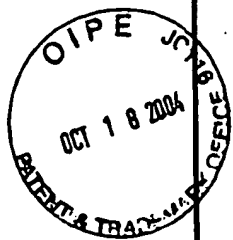
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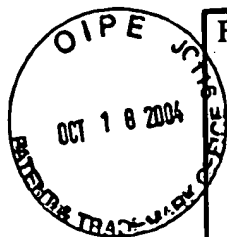
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<b>U.S. PATENT DOCUMENTS</b>													
Examiner Initial	Document Number							Date	Name	Class	Sub Class		
F	6	7	1	4	9	6	8	3/30/2004	Prust				
	6	5	7	8	0	6	9	6/10/2003	Hopmann et al.				
	6	4	3	0	5	6	3	8/6/2002	Fritz et al.				
	5	9	8	7	5	0	6	11/16/1999	Carter et al.				
	5	1	3	6	7	0	7	8/4/1992	Block et al.				
	5	9	5	6	4	0	6	9/21/1999	Maldy				
	6	6	2	9	1	2	7	9/30/2003	Deen et al.				
	5	9	6	6	7	1	5	10/12/1999	Sweeney et al.				
	5	8	6	2	3	4	6	1/19/1999	Kley et al.				
F	6	0	2	6	4	7	4	2/15/2000	Carter et al.				
Examiner								Date Considered		6/10/05			
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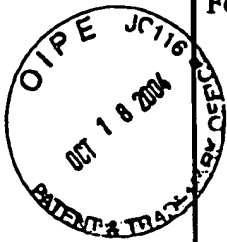
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U.S. Department of Commerce Patent and Trademark Office				48452-047		09/703,884	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant <b>Robert S. Phillips</b>			
				Filing Date November 1, 2000		Group Art Unit 2151	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No
AS	0 661 651 A1	7/5/95	EPO			Y	
	0 288 636 A2	11/2/88	EPO			Y	
	2 257 273 A	1/6/93	GB			Y	
	96/30847	10/3/96	WO			Y	
	96/23268	8/1/96	WO			Y	
	95/25306	9/21/95	WO			Y	
	95/22111	8/17/95	WO			Y	
	95/14279	5/26/95	WO			Y	
AS	95/02307	1/19/95	WO			Y	
Examiner <i>Grant</i>			Date Considered 6/10/05				
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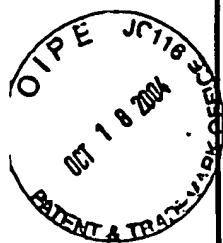
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U.S. Department of Commerce Patent and Trademark Office		Technology Center	210018452-047	09/703,884
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)			Applicant <b>Robert S. Phillips</b>	
			Filing Date <b>November 1, 2000</b>	Group Art Unit <b>2151</b>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
<i>FD</i>	"An Argument for Simple COMA," Ashely Saulsbury et al., <i>Proceedings of the First Annual Symposium on High Performance Computer Architecture</i> , Jan. 1995, pp. 276-285			
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	Anderson et al. "Scheduler Activations: Effective Kernel Support for the User-Level Management of Parallelism," <i>Operating Systems Review (SIGOPS)</i> , Vol. 25, No. 1, January 1, 1991, pgs. 95-109.			
	Anderson, T., et al. "Serverless Network File Systems," <i>Operating Systems Review (SIGOPS)</i> , Vol. 29, No. 5, December 1, 1995, pgs. 109-126.			
<i>FD</i>	Barton-Davis et al. "Adding Scheduler Activations to Mach 3," <i>Proceedings of the USENIX Mach III Symposium</i> , Santa FE, NM, USA, April 19-21, 1993, pgs. 119-136.			
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<i>Frank</i>		<i>6/10/05</i>		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
<i>FS</i>	Carter, J., et al. "PPFS: A High Performance Portable Parallel File System," Proceeding of the International Conference on Supercomputing, Barcelona, July 3-7, 1995, pgs. 385-394.		
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	European Patent Office, European Search Report, Application No. EP 97 30 9471, mailed on April 23, 1998, 3 pages.		
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<i>FS</i>	Irelenbusch et al. "Towards a Resilient Shared Memory Concept for Distributed Persistent Object Systems," <i>Proceeding of the 28<sup>th</sup> Annual Hawaii Intl. Conference on System Sciences</i> , 1995, IEEE, pgs. 675-684.		
Examiner <i>Gar L</i>		Date Considered <i>6/10/05</i>	
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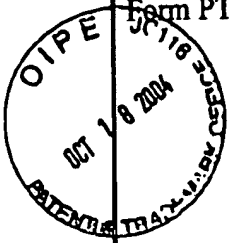
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FT	Koppe C. "Sleeping Threads: A Kernel Mechanism for Support of Efficient User Level Threads," <i>Proceedings of Seventh IASTED-ISMM International Conference on Parallel and Distribution Computing and Systems</i> , Washington, DC, USA, October 19-21, 1995, pgs. 11-15.			
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	Network Appliance, Inc. Web Page, <a href="http://www.netapp.com/products/level3/netcache/datasheet.html">http://www.netapp.com/products/level3/netcache/datasheet.html</a> , printed on May 22, 1997.			
	Network Appliance, Inc. Web Page, <a href="http://www.netapp.com/products/level3/webfiler.html">http://www.netapp.com/products/level3/webfiler.html</a> , printed on May 22, 1997.			
	Network Appliance, Inc. Web page, <a href="http://www.netapp.com/products/level3/nfs.html">http://www.netapp.com/products/level3/nfs.html</a> , printed on May 22, 1997.			
	Network Appliance, Inc. Web page, <a href="http://www.netapp.com/products/level3/ontap.html">http://www.netapp.com/products/level3/ontap.html</a> , printed on May 22, 1997.			
	Network Appliance, Inc. Web Page, <a href="http://www.netapp.com/products/level3/windows.html">http://www.netapp.com/products/level3/windows.html</a> , printed on May 22, 1997.			
FT	Ng, T. "The Design and Implementation of a Reliable Distributed Operating System - ROSE," <i>Proceedings of the Symposium on Reliable Distributed Systems</i> , Huntsville, October 9-11, 1990, pgs. 2-11.			
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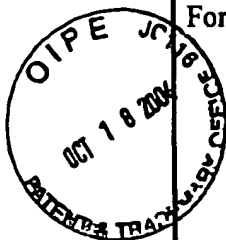
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